



Country: Ghana  
Initiation Plan

**Project Title:** Green a sustainable cocoa supply chain in Ghana, West Africa

**Expected CP Outcome(s):** Initiate, develop and plan best environmental practices as part of the national agricultural extension program for cocoa farming

*(Those that are linked to the project and extracted from the CPAP)*


**Initiation Plan Start Date:** April 2010

**Initiation Plan End Date:** August 2010

**Implementing Partner:** United Nations Development Programme

Programme Period:	2010
CPAP Programme Component:	_____
Atlas Award ID:	_____
PAC Meeting Date	_____

Total resources required	<b>\$78,972</b>
Total allocated resources:	_____
• Regular	_____
• Other:	_____
o Cadbury	<b>\$78,972</b>
o Donor	_____
o Donor	_____
o Government	_____
Unfunded budget:	_____
In-kind Contributions	_____

Agreed by UNDP:  \_\_\_\_\_ Date \_\_\_\_\_  
01 April 2010

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## I. PURPOSE

The Cadbury Cocoa Partnership (CCP) was established in 2007 with a commitment of 45 million sterling over a ten year period. The programme functions as a cross-sectoral collaboration between Cadbury plc, not-for-profit organisations and UNDP. The partnership will oversee the CCP programme which aims to secure the economic, social and environmental sustainability of around one million cocoa farmers and their communities. Ghana, as Cadbury's biggest bean origin, is expected to receive around two thirds of the investment.

To decide on programme activities throughout the duration of the partnership, the CCP has developed an International Board and, for Ghana, a Local Board. The Local Board will be in a position to make decisions and manage Ghana activities within the framework set by the International Board in the United Kingdom, and the specific Ghana strategy and budget ratified by the International Board. Once the International Board has agreed on the Local Board's strategy, projects can commence under the supervision of the programme coordination unit (PCU) that is responsible for day-to-day programme implementation and management.

To advance the environmental strategy of the CCP, the Local Board requested that the PCU formulate a short brief on the current situation analysis on cocoa farming and the environment in late 2009. Following submission of this report to the Local Board, it was agreed that there were several issues that needed further clarification before a clear environmental strategy could be set for the CCP programme. This led the Local Board to propose the creation of an environmental project that consists of two separate phases.

Phase I will form a comprehensive baseline study on environmental policy, responsible institutions, environmental best practices, research gaps etc, and will consist of three broad areas;

- (1) Farming practices (to be articulated in CRIG farming curriculum and conveyed to farmers via community extension activity).
- (2) Forest restoration / shade management (linked to farming practices; economic value of trees or other economic incentives).
- (3) Policy research and advocacy and technical research to underpin and advance these areas.

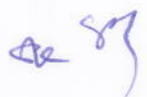
The primary aim of Phase I is to gather all necessary baseline information so the local CCP board, and the government of Ghana, UNDP, and industry stakeholders more broadly can make a clear informed decision on the course of action for a full environmental project in Phase II. Thus, UNDP's Project Initiation Plan (PIP) referred to here will form CCPs Phase I baseline strategising report. This will lead naturally into Phase II – a full environmental project for the CCP.

The CCP has requested UNDP to facilitate and drive COCOBOD's environmental best practices initiative while working with all relevant stakeholders including the coordination of IUCN and others that will bring additional technical support and advice for the completion of Phase I.

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## II. EXPECTED OUTPUTS

1. Facilitate and prepare a baseline report on how to achieve best environmental practices in cocoa farming - including barrier removal.
2. Facilitate the development of the environmental curriculum, benchmarked to appropriate existing and external standards, with the Cocoa Research Institute of Ghana (CRIG) that will later be integrated into the national extension program and which may support future farm-level certification if deemed desirable.
3. Formulate the environmental strategy for the CCP and phase II main environmental components, and define the roles of partners in implementing phase II.





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### III. MANAGEMENT ARRANGEMENTS

A Project Director, will oversee the whole project. This will be the UNDP Ghana Deputy Resident Representative.

A senior project advisor will provide guidance and assure quality control of substance and reports during Phase I. The senior project advisor will also be available for consultation throughout the project.

A national Project Manager will manage the project during the initiation stage. The Project manager will manage on a day-to-day basis initial stage activities, including the project partners' inputs on the project document. The PM will also be an environmental expert and will contribute directly to all Phase I activities.

A national environment specialist will work with the project manager to complete the majority of research based work. However, the project manager will be assisted by one international consultant that is familiar with the cocoa industry and has a strong background in crop research.

An international environmental expert will support the national team and participate in the data gathering, report preparation and CRIG workshops through missions to Ghana to assist the project.

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### IV. MONITORING

Initiation stage deliverables:

- a. The project baseline document will be submitted for review by the Project Manager and approved by the Outcome board and the CCP to initiate Phase II.

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## V. ANNUAL WORK PLAN

The 15 activities listed below will form the main outputs for COCOBOD's Phase I baseline study. To assist in achieving the listed outputs UNDP will facilitate and drive COCOBOD's initiative while bringing in and coordinating IUCN and other relevant stakeholders. All output activities listed below support the CCP's three broad areas for strengthening environmental strategies for cocoa farming in Ghana, these being; (1) Best Farming practices (2) Forest restoration / shade management and; (3) Policy research and advocacy.

Year: 2010

EXPECTED OUTPUTS <i>And baseline, indicators including annual targets</i>	PLANNED ACTIVITIES <i>List activity results and associated actions</i>	TIMEFRAME			RESPONSIBLE PARTY	Funding Source	PLANNED BUDGET	
		Month 1	Month 2	Month 3			Budget Description	Amount
<b>Output 1:</b> Prepare and report baseline study for the development of best environmental practices in cocoa farming.	1. <b>Activity and Result:</b> Identify and analyse the institutions, departments and agencies involved in environmental work to determine how they could be best involved in the sustainability of cocoa farming	X			UNDP	CCP	71499 (Contractual Services, Individuals) 71600 (Travel) 72500 (Supplies)	22,000 (a) 6,902 (b) 300
	2. <b>Activity and Result:</b> Summarise the current environmental policies that would directly or indirectly affect progress towards environmentally sustainable cocoa farming	X			UNDP	CCP	<b>Output 1: Budget notes</b> (a) <u>Nine day mission</u> by International consultant and <u>five day</u> desktop review of International environmental standards for tree crops -at \$500 per day	
	3. <b>Activity and Result:</b> Identify all key stakeholders in the cocoa industry	X			UNDP	CCP	15 days National Project manager 20 days for each of two national environmental consultants	
	4. <b>Activity and Result:</b> Summarise all on-going related environmentally based projects that are tree crop related including a desk review on International best practices	X			UNDP	CCP	-\$300 per day project manager -\$250 per day environmental consultant	
	5. <b>Activity and Result:</b> Compile all relevant results and data and assess the quality of past project results	X			UNDP	CCP	(B) Flight /DSA - International consultant -\$2500 return economy flight -\$250 DSA per day -\$152 terminals per mission	

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	<p><b>6. Activity and Result:</b> Summarise lessons learnt from past and on-going projects that relate to environmental issues involving tree crops</p> <p><b>7. Activity and Result:</b> Identify gaps in research</p>	X	UNDP	CCP	and travel for national consultants -\$2000	
<p><b>Output 2:</b> Begin and facilitate the development of the environmental curriculum with the Cocoa Research Institute of Ghana (CRIG) - this curriculum will later be integrated into the COCOBOD national extension program</p>	<p><b>8. Activity and Result:</b> Consult CRIG on current and past research, what practices are most applicable to small holder settings and the primary research interests of the institute</p> <p><b>9. Activity and Result:</b> Identify gaps in current research and where further research is needed</p> <p><b>10. Activity and Result:</b> Review suitability of identified best environmental practices and incorporate IUCN experience</p> <p><b>11. Activity and Result:</b> Conduct workshop on best environmental practices with CRIG and other relevant stakeholders</p> <p><b>12. Activity and Result:</b> Develop environmental best practices to fit within curricula format</p> <p><b>13. Activity and Result:</b> Facilitate and assist with the preparation of best environmental practices for piloting</p>	X	UNDP	CCP	<p>71499 (Contractual Services, Individuals) 71600 (Travel) Workshops 72500 Supplies</p>	<p>12,500 (c) 5,402 (d) 4,000 (e) 300</p>
	<p><b>Output 2: Budget notes</b> (c) 5 days mission by International consultant -at \$500 per day</p>	X	UNDP	CCP	<p>15 days National Project manager 15 days for each of two national environmental consultants</p>	
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Output 3: Environmental strategy formulation for CCP and Phase 2	14. Activity and Result: Formulate and present draft baseline Phase I report to CCP board including strategy for Phase II	X	X	UNDP	CCP	71499 (Contractual Services, Individuals) 71600 (Travel)	18,000 (f) 4,402 (g) 5,166 (h)
	15. Activity and Result: Follow up stakeholder consultations for environmental strategy			UNDP	CCP	<b>Output 3: Budget notes</b> (f) 5 day mission by international consultant -at \$500 per day  10 days home based work by international consultant -at \$500 per day  10 days National Project manager 10 days for each of two national environmental consultants -\$300 per day project manager -\$250 per day environmental consultant  (g) Flight /DSA - International consultant and travel for national consultants -\$2500 return economy flight - \$250 DSA per day -\$152 terminals per mission  and travel for national consultants -\$500  <b>(h) Admin fee 7% of total</b>	
<b>TOTAL</b>							<b>\$78,972</b>

Note: In-kind contributions;

1. Office space UNDP
2. Technical support by UNDP (staff time/representation etc.)

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